

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	<b>Application Number</b>		10564044	
	<b>Filing Date</b>		2006-01-09	
	<b>First Named Inventor</b>	James Forbes		
	<b>Art Unit</b>			
	<b>Examiner Name</b>			
<b>Attorney Docket Number</b>		200405.00020		

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Receipt date: 12/15/2006

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1	ASF Trucks "Good for the Long Run," American Steel Foundries, date unknown.	<input type="checkbox"/>
2	ASF User's Guide, "Freight Car Truck Design," American Steel Foundries, ASF652, date unknown.	<input type="checkbox"/>
3	American Steel Foundries information: ASF Motion Control Truck System with Super Service Ridemaster & D5 Springs, drawing no. AR-3421, ASF-Keystone, Inc., July 14, 2003. Assembly ASF/Pennsy Adapter Plus Pad & Adapter, drawing no. 43317, ASF-Keystone, Inc., July 10, 2003. Motion Control M976 Upgrade Kit, source unknown, date unknown. Super Service Ridemaster, American Steel Foundries, date unknown.	<input type="checkbox"/>
4	Association of American Railroads Mechanical Division Manual of Standards and Recommended Practices Journal, "Roller Bearing Adapters for Freight Cars," date unknown, pp. H-35 to H-42. Revised 1998.	<input type="checkbox"/>
5	Barber S-2-D Product Bulletin, undated.	<input type="checkbox"/>
6	Buckeye XC-R VII, Buckeye Steel Castings, date unknown.	<input type="checkbox"/>
7	1937 Car and Locomotive Cyclopeda, "Self-Aligning Spring Plankless Double Truss Trucks," (New York: Simmons-Boardman Publishing Corporation) at pp. 892 and 893.	<input type="checkbox"/>
8	1966 Car and Locomotive Cyclopeda, 1st ed., "ASF Freight Car Trucks," (New York: Simmons-Boardman Publishing Corporation, 1966) at pp. 818-819.	<input type="checkbox"/>
9	1966 Car and Locomotive Cyclopeda, 1st ed., "ASF Freight Car Trucks," (New York: Simmons-Boardman Publishing Corporation, 1966) at p. 827.	<input type="checkbox"/>
10	1970 Car and Locomotive Cyclopeda, 2nd ed., "JOURNAL BOXES: Roller Bearing, Pedestal Frames," (New York: Simmons-Boardman Publishing Corporation, 1970) at p. 816.	<input type="checkbox"/>
11	1974 Car and Locomotive Cyclopeda, 3rd ed., "For new directions in shock and motion protection, keep looking to Lord," (New York: Simmons-Boardman Publishing Corporation, 1974) at pp. S13-36, S13-37.	<input type="checkbox"/>

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12	1980 Car and Locomotive Cyclopedias, 4th ed., Section 13 Truck and Journal Bearings, pp. 669-750.	<input type="checkbox"/>
13	1984 Car and Locomotive Cyclopedias, 5th ed., "BARBER Stabilized Freight Car Truck Systems," (Omaha: Simmons-Boardman Books, Inc., 1984) at pp. 488, 489, 496, 500, 526.	<input type="checkbox"/>
14	1984 Car and Locomotive Cyclopedias, 5th ed. (Omaha: Simmons-Boardman Books, Inc., 1984) at pp. 512-513.	<input type="checkbox"/>
15	1997 Car and Locomotive Cyclopedias, 6th ed, Section 7: Trucks, Wheels, Axles & Bearings, pp. 705-770. Section 7 Bearings, pp. 811-834.(Omaha: Simmons-Boardman Books, Inc., 1997)	<input type="checkbox"/>
16	1961 Car Builders Cyclopedias, 21st ed., "CAR TRUCKS: Freight, Modified Conventional," (New York: Simmons-Boardman Publishing Corporation, 1961) at pp. 846, 847.	<input type="checkbox"/>
17	User's Manual for NUCARS," Version 2.0, SD-043, at pp. 5-39, 5-40.	<input type="checkbox"/>
18	ASF ADAPTERPlus, Pennsy Corporation, Internet - PENNSY.com, Ver. 9807, date unknown.	<input type="checkbox"/>
19	Railway Age, Comprehensive Railroad Dictionary (Simmons-Boardman Books, Inc.) p. 142.	<input type="checkbox"/>
20	1998 November Railway Age, "Premium trucks: Real-world test results," pp. 47, 51, 53, 62.	<input type="checkbox"/>

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Examiner Signature	/Robert Mccarry Jr/	Date Considered	10/27/2010
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.16. Please see CFR 1.4(d) for the form of the signature.

Signature	/Michael H. Minns/	Date (YYYY-MM-DD)	2006-12-15
Name/Print	Michael H. Minns	Registration Number	31,985

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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